



September 4, 2001

Commissioner for Patents  
**BOX MISSING PARTS**  
 Washington, DC 20231

Re: Applicants: JONES et al.  
 Title: **ADENOSINE CYCLIC KETALS: NOVEL  
 ADENOSINE ANALOGUES FOR PHARMACOTHERAPY**  
 Serial No.: 09/828,276  
 Filed: April 6, 2001  
 Art Unit: 1623  
 Examiner: (not yet assigned)  
 Docket No.: 00-721-US

Dear Sir:

Enclosed are the following for filing in connection with the above-referenced application:

1. A Response to Notice to File Missing Parts - Filing Date Granted;
2. Two executed, counterpart Combined Declaration and Power of Attorney documents;
3. A check in amount of \$755.00 representing the filing fee, extra claims fees, extension fee and surcharge;

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being

**MAILED**

☒ Deposited with the United States Postal Service on  
 September 4, 2001, with sufficient postage as first class mail  
 in an envelope addressed to the: Commissioner for Patents,  
 BOX MISSING PARTS, Washington, D.C. 20231.

Jody L. Burtner

**FACSIMILE**

☐ transmitted by facsimile on [date] to the U.S. Patent and  
 Trademark Office.

Type Signature Name

(Signature of person mailing paper or fee)

(Signature of person mailing paper or fee)

435 Sixth Avenue  
 Pittsburgh, PA 15219-1886  
 412.288.3131  
 Fax 412.288.3063  
 Delaware  
 New Jersey  
 New York  
 Pennsylvania  
 Virginia  
 Washington, DC.

**BOX MISSING PARTS**

September 4, 2001

Page 2

ReedSmith<sup>LLP</sup>

4. An executed Verified Statement (Declaration) Claiming Small Entity Status - Nonprofit Organization;
5. A copy of the Notice to File Missing Parts;
6. A Petition and Fee for Extension of Time;
7. Two executed, counterpart, Assignments and a completed Assignment Recordation Cover Sheet - Patents Only;
8. A second check for \$40.00 representing the Assignment recordation fee; and
9. A self-addressed stamped postcard, return of which is requested to acknowledge receipt of the enclosed documents.

The Director is authorized to charge any additional payment due in connection with this submission, or to credit any overpayment to Deposit Account No. 18-0582. A duplicate copy of this authorization is enclosed.

Very truly yours,

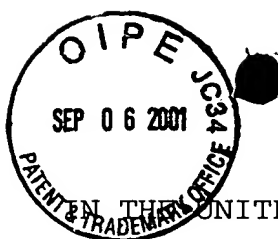
REED SMITH LLP

By



Robert D. Kucler

cc: Frederick H. Colen, Esq. (w/o encl.)  
Dr. James G. Dilmore (w/o encl.)



UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

JONES et al.

Serial No.: 09/828,276

Filed: April 6, 2001

Art Unit: 1623

Examiner: (not yet assigned)

Atty. Docket No.: 00-721-US

ADENOSINE CYCLIC KETALS:  
NOVEL ADENOSINE ANALOGUES FOR  
PHARMACOTHERAPY

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION - FILING DATE GRANTED**

Dear Sir:

In response to the Notice To File Missing Parts Of  
Application - Filing Date Granted mailed on June 4, 2001, a copy  
of which is enclosed, Applicants hereby submit the following:

1. ☒ Two, counterpart, original, executed Combined  
Declaration And Power Of Attorney documents.
2. ☒ Two, counterpart, Assignments of the application to the  
- University of Pittsburgh and a completed Assignment  
Recordation Form Cover Sheet.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being

**MAILED**

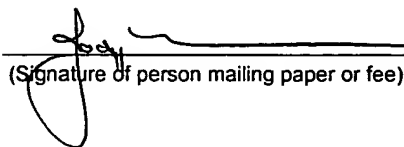
☒ deposited with the United States Postal Service on  
September 4, 2001, with sufficient postage as first-class mail in  
an envelope addressed to Box Missing Parts, Commissioner for  
Patents, Washington, D.C. 20231.

**FACSIMILE**

☐ transmitted by facsimile on [date] to the U.S. Patent and  
Trademark Office.

Jody L. Burtner

Type Signature Name

  
(Signature of person mailing paper or fee)

\_\_\_\_\_  
(Signature of person mailing paper or fee)

3. ☒ A Verified Statements claiming small entity status  
☒ is attached.  
☐ has been filed.  
☐ A separate refund request accompanies this paper.

4. ☒ The filing fee is calculated below.

FOR	(Col. 1)	(Col. 2)	<u>SMALL ENTITY</u>		<u>OTHER THAN</u>		
	<u>NO. ON FILE</u>	<u>NO. EXTRA</u>	<u>RATE</u>	<u>FEE</u>	<u>A SMALL ENTITY</u>	<u>RATE</u>	<u>FEE</u>
Basic Fee	////////	////////	////	\$355	<u>OR</u>	////	\$710
Total	20-20	0	x \$ 9	= \$	<u>OR</u> X	\$ 18	= \$
Claims							
Ind. Claims	10-3	7	x \$ 40	= \$280	<u>OR</u> X	\$ 80	= \$
<input type="checkbox"/> Multiple	Dependent		x \$135	= \$	<u>OR</u> +	\$270	= \$0
Claims	Presented						
<u>TOTAL</u>				= \$635	<u>OR</u>	<u>TOTAL</u>	= \$

5. The surcharge for late payment of the filing fee and/or the late filing of an original Declaration is:

☒ \$65.00 (small entity) ☐ \$130.00 (large entity)

6. Fee Payment Being Made:

☒ Enclosed

<input checked="" type="checkbox"/> Filing fee	\$635.00
<input checked="" type="checkbox"/> Surcharge	\$ 65.00
<input checked="" type="checkbox"/> Extension fee (Petition enclosed)	\$ 55.00
<input checked="" type="checkbox"/> Recording Assignment	\$ 40.00

Total fees enclosed \$795.00

7. ☒ A check in the amount of \$755.00 to cover the filing fee including surcharge and extension fee for a small entity is enclosed.
8. ☒ A check in the amount of \$40.00 to cover the assignment recordation fee is enclosed.

9. ☐ The Director is authorized to charge the \$[Amount] filing fee, the \$[Amount] surcharge and the \$[Amount] assignment recordation fee to Deposit Account No. 18-0582.
10. ☒ The Director is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 18-0582. A duplicate copy of this paper is attached.

Respectfully submitted,

Dated: September 4, 2001



Robert D. Kufler  
Reg. No. 45,908

REED SMITH LLP  
P.O. Box 488  
Pittsburgh, PA 15230-0488  
(412) 288-4598

Attorney for Applicant



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/828,276	04/05/2001	Jones	00-721-US

CONFIRMATION NO. 6338

## FORMALITIES LETTER



\*OC000000006145167\*

Frederick H. Colen  
Reed Smith LLP  
P.O. Box 488  
Pittsburgh, PA 15230-0488

Date Mailed: 06/04/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

Adjustment date: 12/04/2001 SSANDARA  
09/07/2001 HNDOR1 00000071 09828276  
04 FC:299 -280.00 UP

*A copy of this notice **MUST** be returned with the reply.*

Repln. Ref: 12/04/2001 SSANDARA 0009212700  
DAH:180582 Name/Number:09828276  
FC: 704 \$280.00 CR

H.T

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/07/2001 HNDOR1 00000071 09828276

02 FC:201 355.00 OP  
03 FC:205 65.00 OP  
04 FC:299 280.00 OP